

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: MOUNT, David J.

Group Art Unit:

Serial No.

Examiner:

Filed: HEREIN

Atty. Dkt. No: SCF02P-CON

For: SUPERCRITICAL FLUID CLEANING PROCESS FOR PRECISION SURFACES

To: Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Fm:



24222

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING 37 CFR 1.10: I certify that this correspondence is being deposited on the below date with the U.S. Postal Service with sufficient postage as EXPRESS MAIL POST OFFICE TO ADDRESSEE addressed to: Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Debra A. Stengel

Date: 07/02/2003

[s/Debra A. Stengel or () Scott J. Asmus, Reg. No. 42,269]

Commissioner:

LETTER OF TRANSMITTAL

Submitted herewith is a application for United States patent consisting of 1 page Fee Transmittal form PTO/SB/17 to cover filing fee, 2 pages Patent Application Bibliographic Data Sheet, 30 pages of specification and claims, 10 sheets of drawings, 1 pages of declaration and power of attorney.

[X] (37 CFR 1.9(f) & 1.27(c)) Small Entity status applies.

[] (35 U.S.C. 122(b)(2)(B)(i)) PTO form PTO/SB/35 re: Publication enclosed

[X] (37 CFR 1.84(J)) – Applicant requests FIG. 9 for inclusion on the front page of the patent application publication and patent.

[] PCT application being filed concurrently

This application is a continuation application related to and claiming priority of U.S. Non-Provisional Patent Application Serial Number 09/861,298, filed May 18, 2001, which claims benefit of U.S. Provisional Applications s/n 60/205,335, filed May 18, 2000, and s/n 60/267,916, filed February 9, 2001. This application is also a continuation application related to and claiming priority of U.S. Non-Provisional Patent Application Serial Number 09/837,507,



filed April 18, 2001, which claims benefit of U.S. Provisional Applications s/n 60/197,519, filed April 18, 2000 and s/n 60/267,916, filed February 9, 2001.

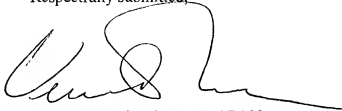
The invention was not made by an agency of the United States Government nor under a contract with an agency of the United States Government.

Fee calculation table:

Fee calculation is based on <u> 3 </u> independent and <u> 14 </u> total claims	
<input checked="" type="checkbox"/> 37CFR1.16(a) Basic filing fee - Utility	\$375.00
<input type="checkbox"/> 37CFR1.16(b) Independent claims in excess of three - <u> </u> x \$42.00 ea.	
<input type="checkbox"/> 37CFR1.16(c) Claims in excess of twenty - <u> </u> x \$9.00 ea.	
<input type="checkbox"/> 37CFR1.21(h) Recordation of Assignment	\$ <u> </u>
TOTAL DUE:	\$375.00

The Office is hereby authorized to charge the \$375.00 filing fee for the application submitted herein to deposit account 500323. The Office is also hereby authorized to charge any deficiency or credit any overpayment in the fees to deposit account 500323.

Respectfully submitted,



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FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

01576

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 375.00

Complete if Known

Application Number	
Filing Date	HEREIN
First Named Inventor	MOUNT, David J.
Examiner Name	
Art Unit	
Attorney Docket No.	SCF02P-CON

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number	500323
Deposit Account Name	Maine & Asmus

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
1001 750	2001 375			Utility filing fee	375
1002 330	2002 165			Design filing fee	
1003 520	2003 260			Plant filing fee	
1004 750	2004 375			Reissue filing fee	
1005 160	2005 80			Provisional filing fee	

SUBTOTAL (1) (\$) 375

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent Claims	-3** =	X	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
1202 18	2202 9			Claims in excess of 20	
1201 84	2201 42			Independent claims in excess of 3	
1203 280	2203 140			Multiple dependent claim, if not paid	
1204 84	2204 42			** Reissue independent claims over original patent	
1205 18	2205 9			** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
1051 130	2051 65			Surcharge - late filing fee or oath	
1052 50	2052 25			Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 65			Non-English specification	
1812 2,520	2812 1,260			For filing a request for ex parte reexamination	
1804 920*	2804 460*			Requesting publication of SIR prior to Examiner action	
1805 1,840*	2805 920*			Requesting publication of SIR after Examiner action	
1251 110	2251 55			Extension for reply within first month	
1252 410	2252 205			Extension for reply within second month	
1253 930	2253 465			Extension for reply within third month	
1254 1,450	2254 725			Extension for reply within fourth month	
1255 1,970	2255 985			Extension for reply within fifth month	
1401 320	2401 160			Notice of Appeal	
1402 320	2402 160			Filing a brief in support of an appeal	
1403 280	2403 140			Request for oral hearing	
1451 1,510	2451 755			Petition to institute a public use proceeding	
1452 110	2452 55			Petition to revive - unavoidable	
1453 1,300	2453 650			Petition to revive - unintentional	
1501 1,300	2501 650			Utility issue fee (or reissue)	
1502 470	2502 235			Design issue fee	
1503 630	2503 315			Plant issue fee	
1460 130	2460 65			Petitions to the Commissioner	
1807 50	2807 25			Processing fee under 37 CFR 1.17(q)	
1806 180	2806 90			Submission of Information Disclosure Stmt	
8021 40	2802 20			Recording each patent assignment per property (times number of properties)	
1809 750	2809 375			Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375			For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375			Request for Continued Examination (RCE)	
1802 900	2802 450			Request for expedited examination of a design application	

SUBMITTED BY

Name (Print/Type) Vernon C. Maine

Signature

Registration No. (Attorney/Agent)

37,389

(Complete if applicable)

Telephone 603-886-6100

Date

07/02/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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